



# Uncertainty assessment of SWOT's systematic errors calibration algorithm

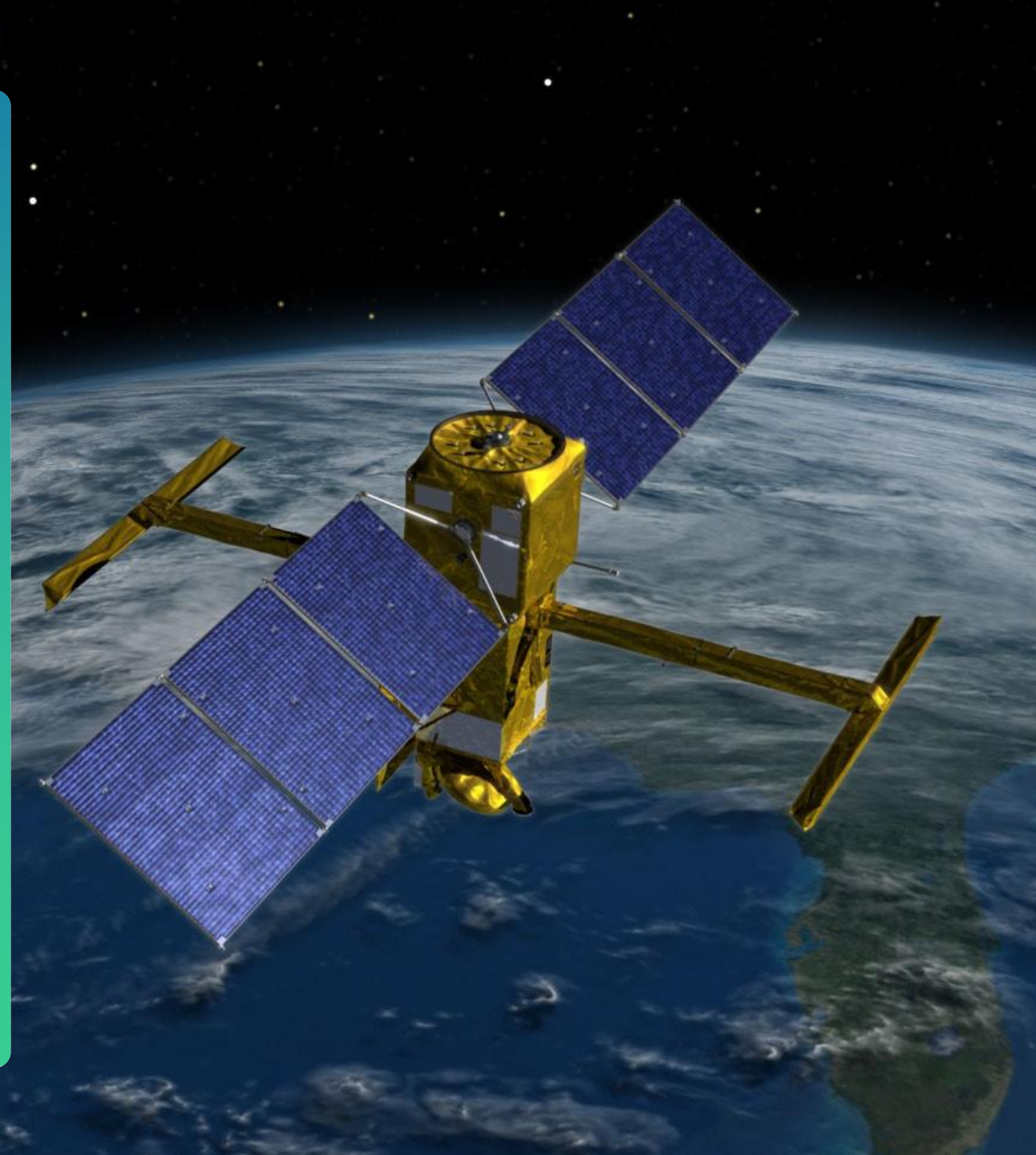
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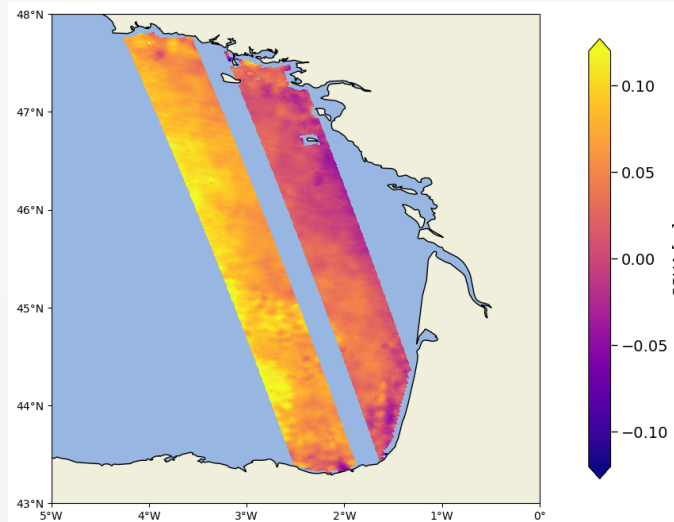
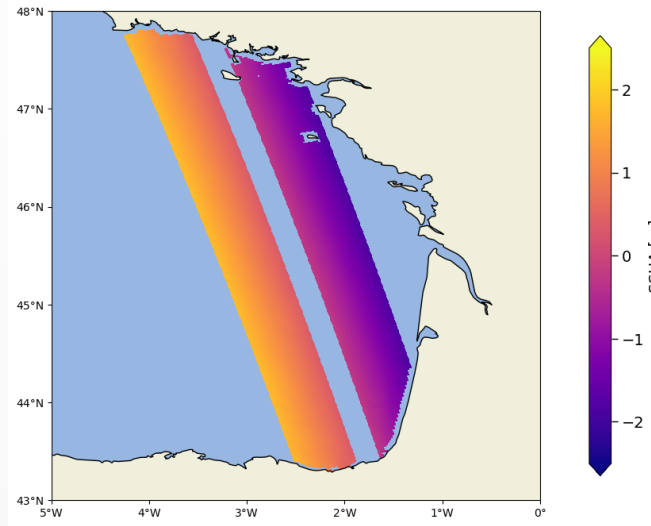
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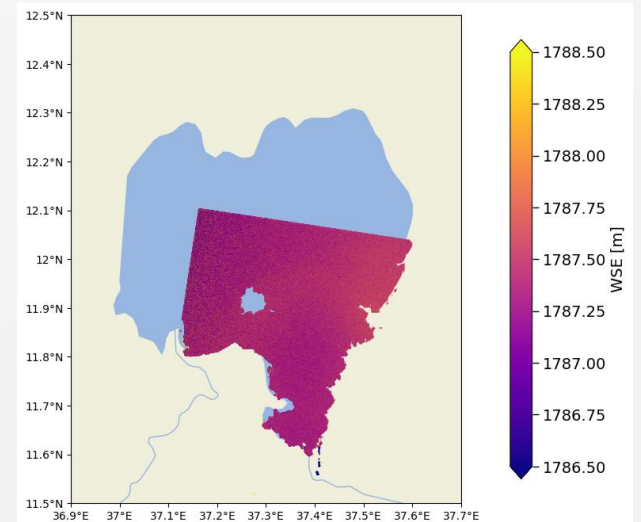
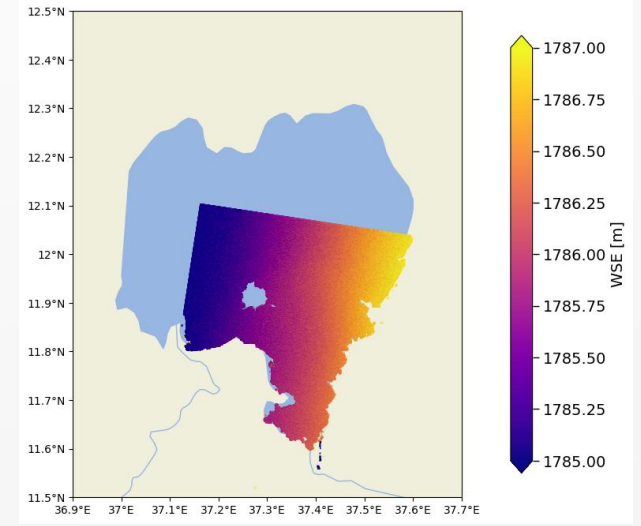
# Why is cross-calibration needed?

- Without XCal: signal of interest completely drowned by systematic errors
- Four main sources of error:
  - Imperfect roll angle knowledge
  - Interferometric phase error
  - Baseline dilation
  - Range timing bias
- With XCal: systematic errors corrected, finer topography appears
- Systematic errors typically exhibit wavelengths  $> 1000$  km
  - Can be filtered out on ocean
  - XCal crucial for inland waters

Systematic error calibration over the Bay of Biscay



Systematic error calibration over Lake Tana (Ethiopia)

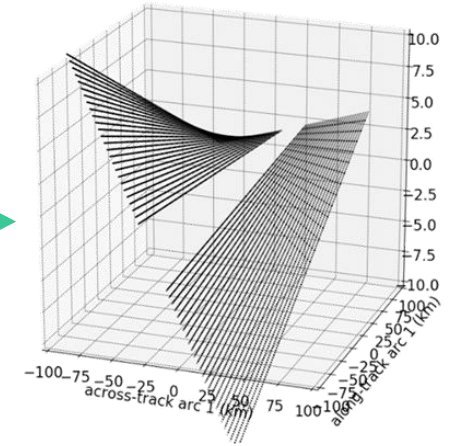


# Cross-track error signatures

- Systematic errors exhibit distinct cross-track signatures
- The linear cross-track term significantly outweighs the others
- The L2 XCal algorithm estimates systematic errors using **only SWOT data** as input
  - Independent of other altimetry missions
  - Notably exploits SSH mismatches at KaRIn-KaRIn crossovers over the ocean

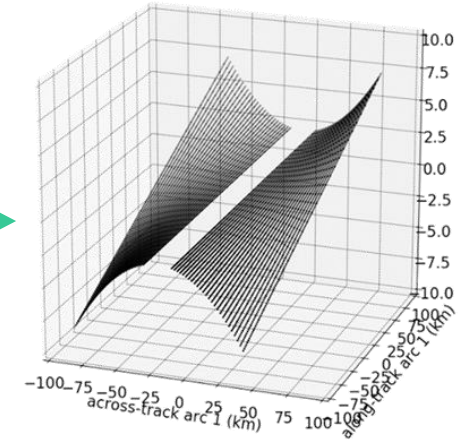
Roll & phase errors

Linear cross-track topography



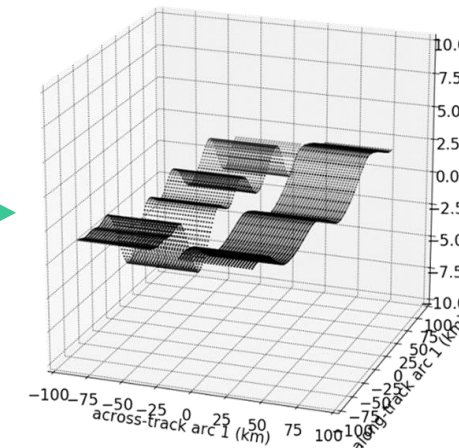
Baseline dilation

Quadratic cross-track topography



Range timing bias

Left-right offset



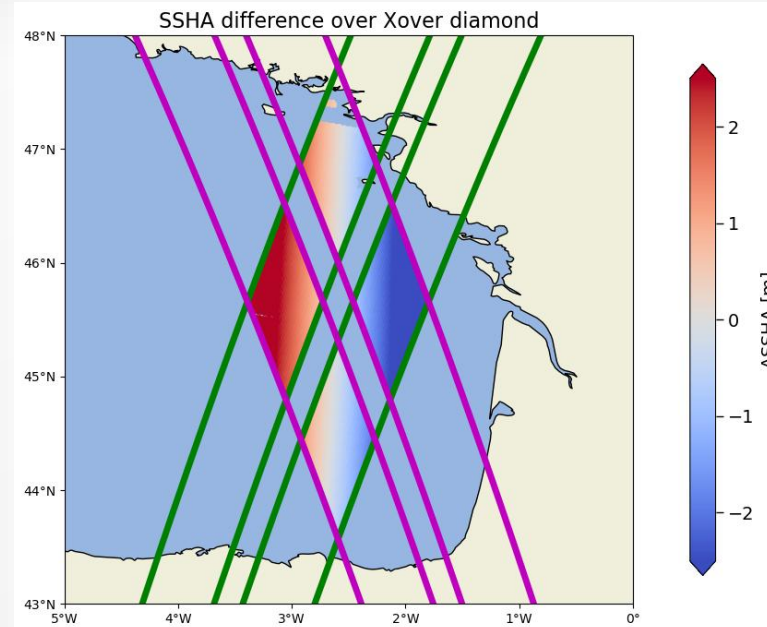
# How to compute the XCal height correction?

The L2 XCal algorithm operates in two main steps

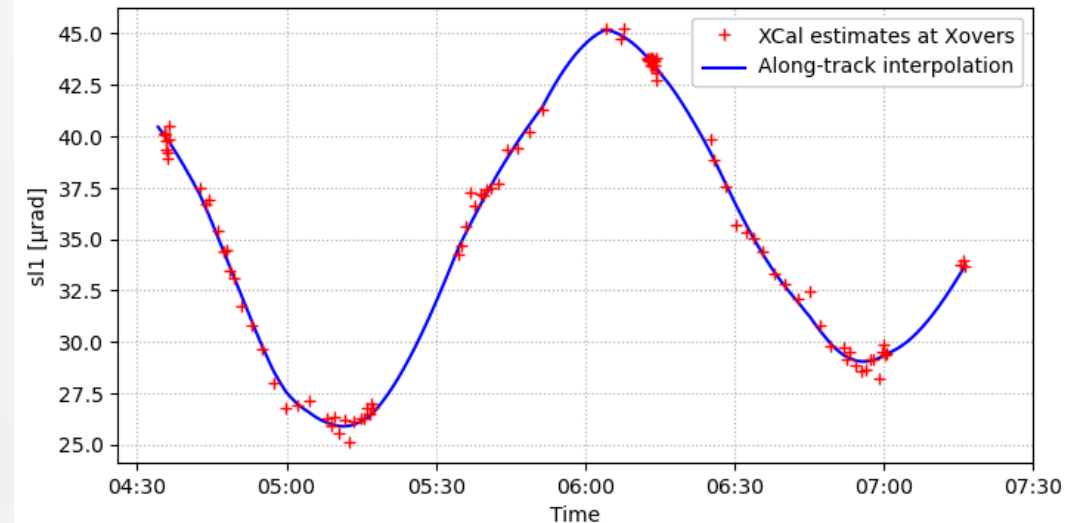
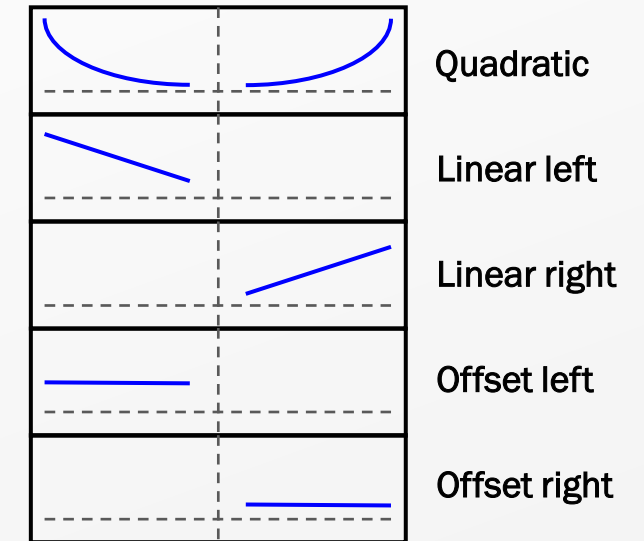
1) Parameter estimation from SSH difference at crossovers

2) Along-track interpolation to produce a continuous correction in time

- Harmonic fit at orbital frequency
- Gaussian filtering of residuals (for roll error only)



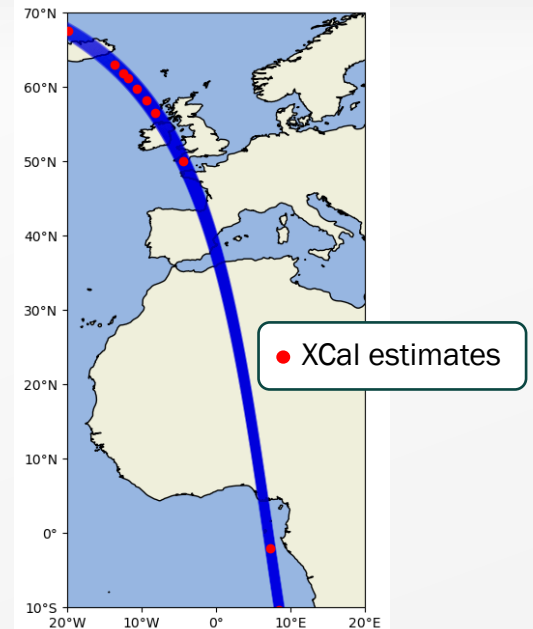
Estimated parameters for each pass



# Limitations for inland waters

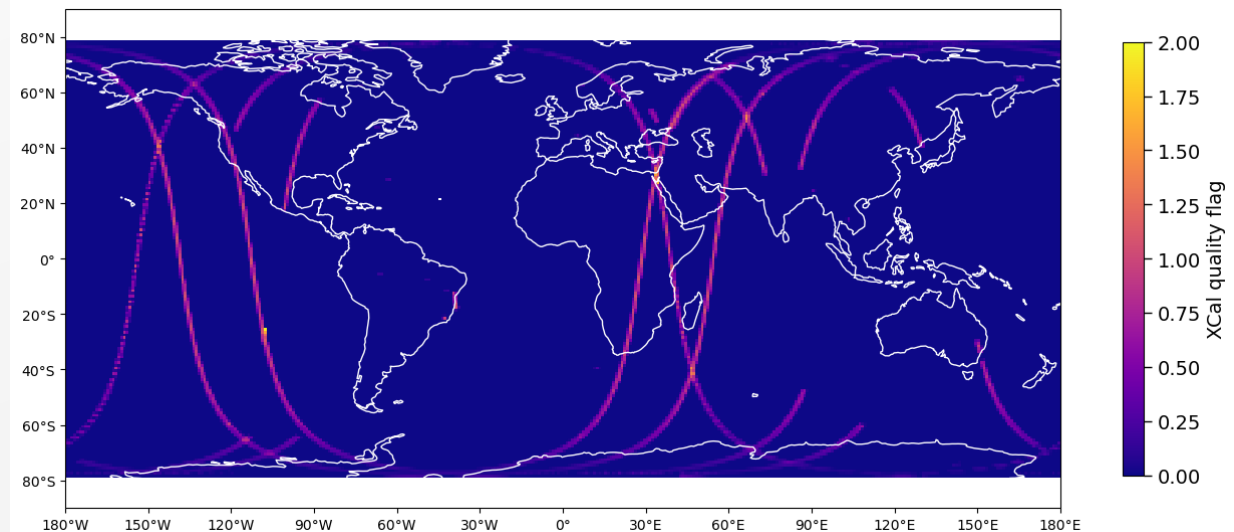
- No Xovers available on land
  - Inland XCal height correction relies on distant oceanic estimates
- L2 XCal quality information currently conveyed through a 3-state flag based on two main criteria:
  - Distance between successive Xovers
  - # of Xovers in a given time window
- How to systematically provide users with a reliable XCal quality assessment?
  - Construction of an uncertainty field for the XCal height correction
  - Enables users to select data more accurately according to their needs

→ No Xover available between the English Channel and the Gulf of Guinea



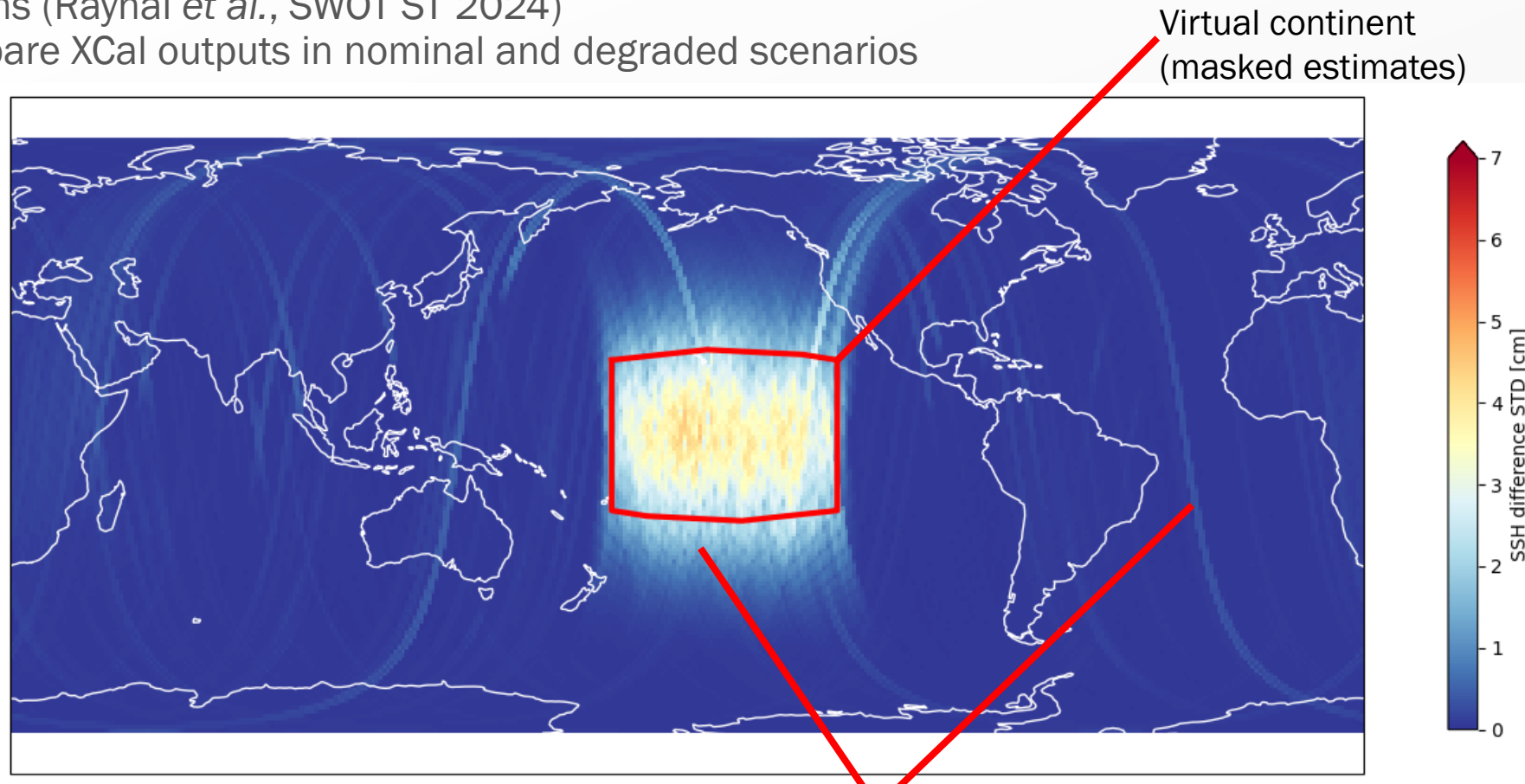
XCal quality flag mean value over SWOT cycle 38

→ Mostly missing data are flagged



# The virtual continent experiment

- Artificially mask large areas in the open ocean to simulate inland conditions (Raynal *et al.*, SWOT ST 2024)  
→ Compare XCal outputs in nominal and degraded scenarios



Impact outside of virtual continent caused by interpolation:  
Gaussian kernel width (short-range), harmonic fit (long-range)

# Estimating XCal uncertainty

- How to estimate XCal uncertainty using the virtual continent?

$$\eta = \eta^* + \varepsilon + \xi$$

Observed topography (systematic errors corrected) →  $\eta$

True topography (unknown) →  $\eta^*$

Other (random) errors (unknown) →  $\xi$

XCal errors (what we are interested in) ←  $\varepsilon + \xi$

- True topography and random errors are realized identically with and without fake continent:

$$\eta_{\text{degraded}} - \eta_{\text{nominal}} = \xi_{\text{degraded}} - \xi_{\text{nominal}}$$

Access to XCal errors through topography →

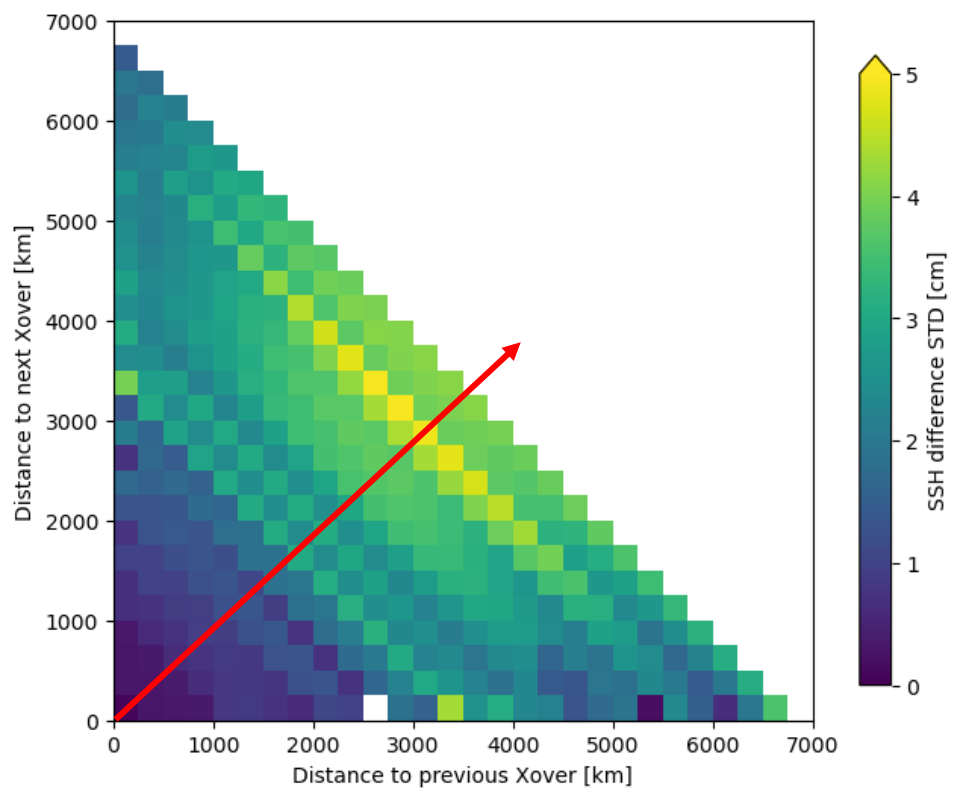
- If XCal errors have zero mean, we can show that

$$\text{STD}(\xi_{\text{degraded}}) - \text{STD}(\xi_{\text{nominal}}) \leq \text{STD}(\eta_{\text{degraded}} - \eta_{\text{nominal}})$$

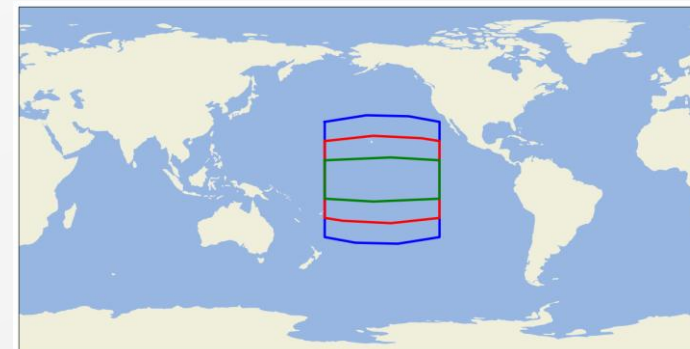
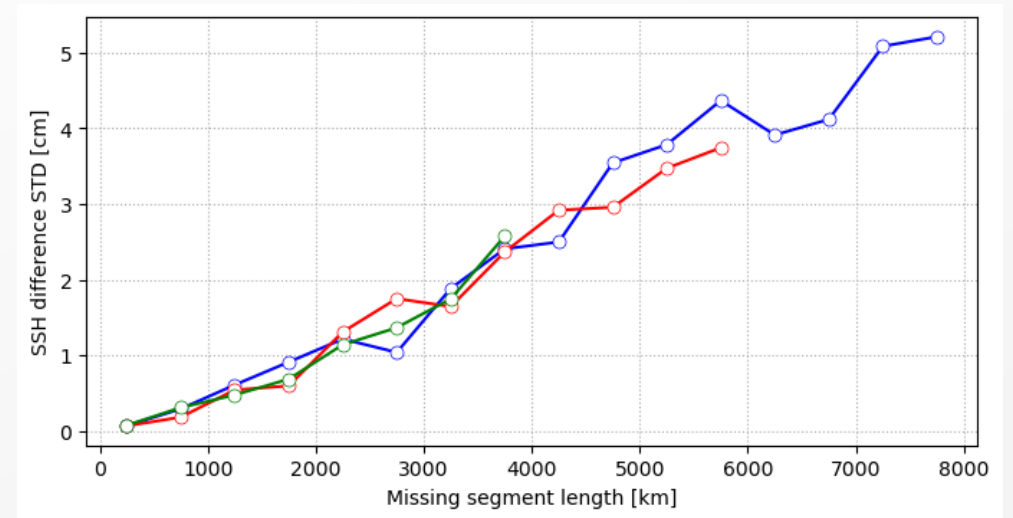
Upper bound for the supplemental XCal error between Xover estimates

# Virtual continent – results

Standard deviation of SSH differences over the virtual continent versus distance to previous/next XCal estimate (upper bound for the uncertainty increase between Xovers)



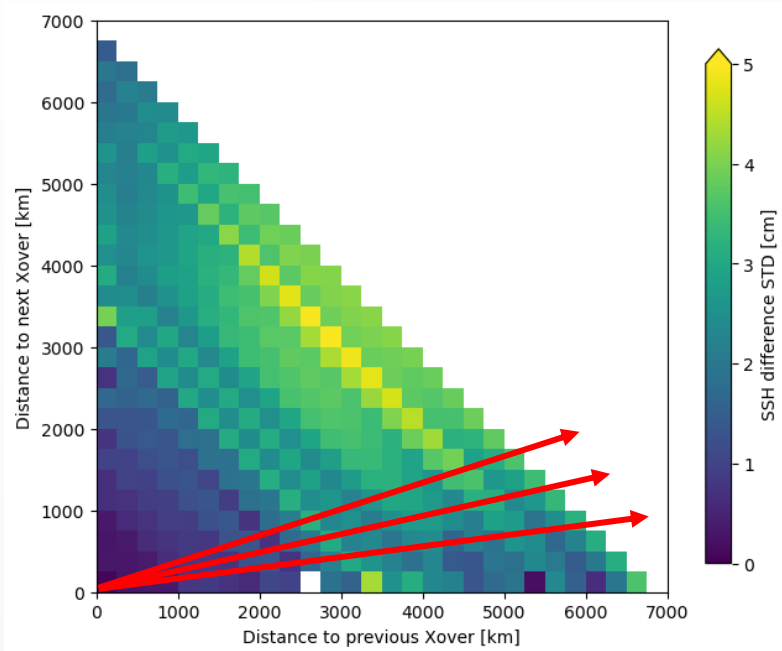
→ STD at the middle of missing segments grows linearly with segment length



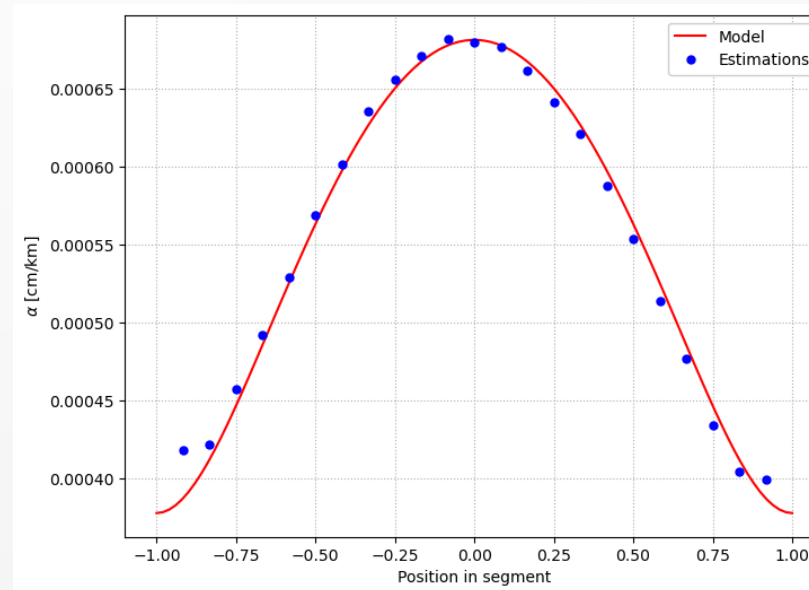
Consistent behavior across virtual continents of various sizes

# Model for XCal uncertainty estimation

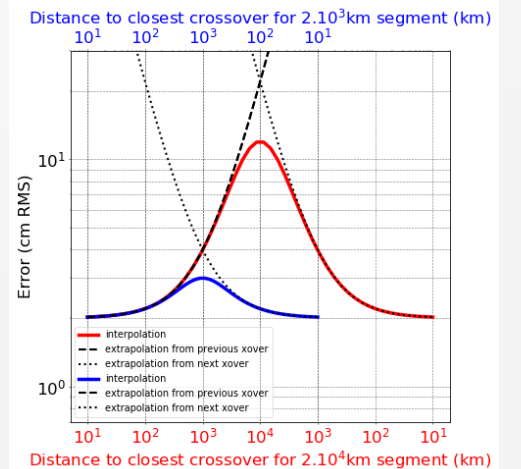
- Uncertainty model constructed from two parameters:
  - Length of segment without estimate
  - Position within said segment



- Fit a linear model versus segment length for each position:
 
$$\text{STD}(\Delta\eta) = \alpha(p)L$$

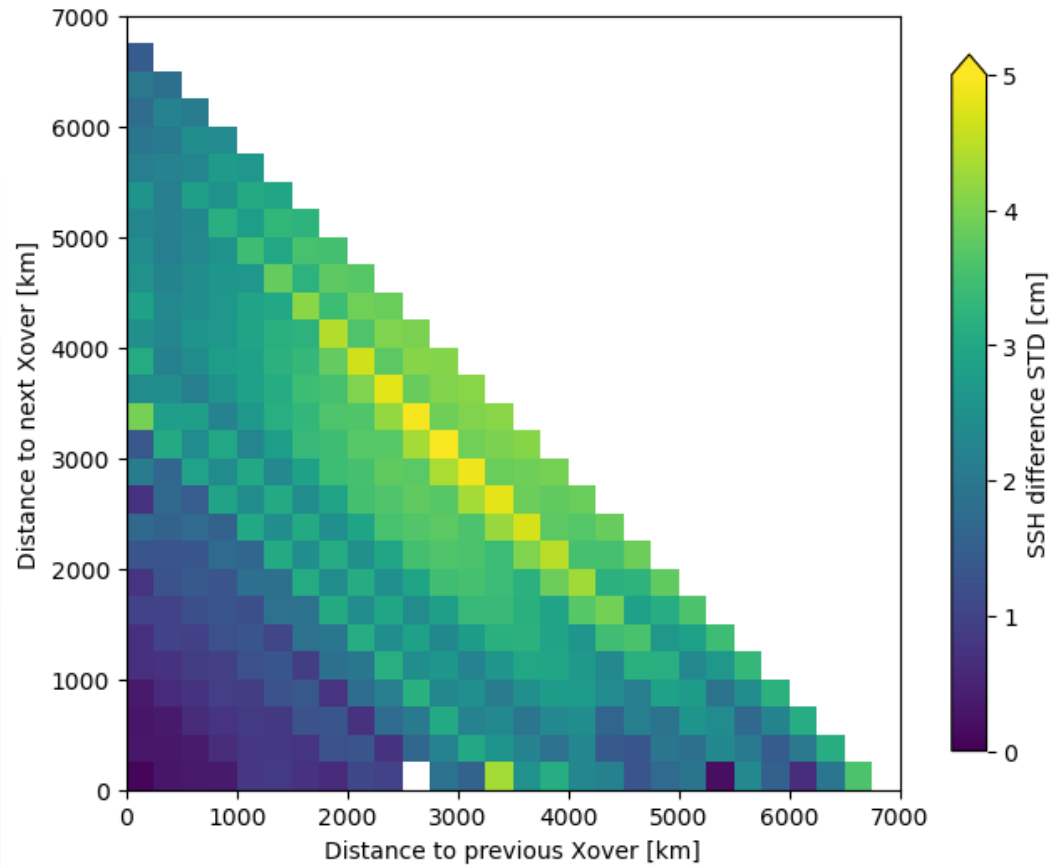


Results reminiscent of pre-launch simulations

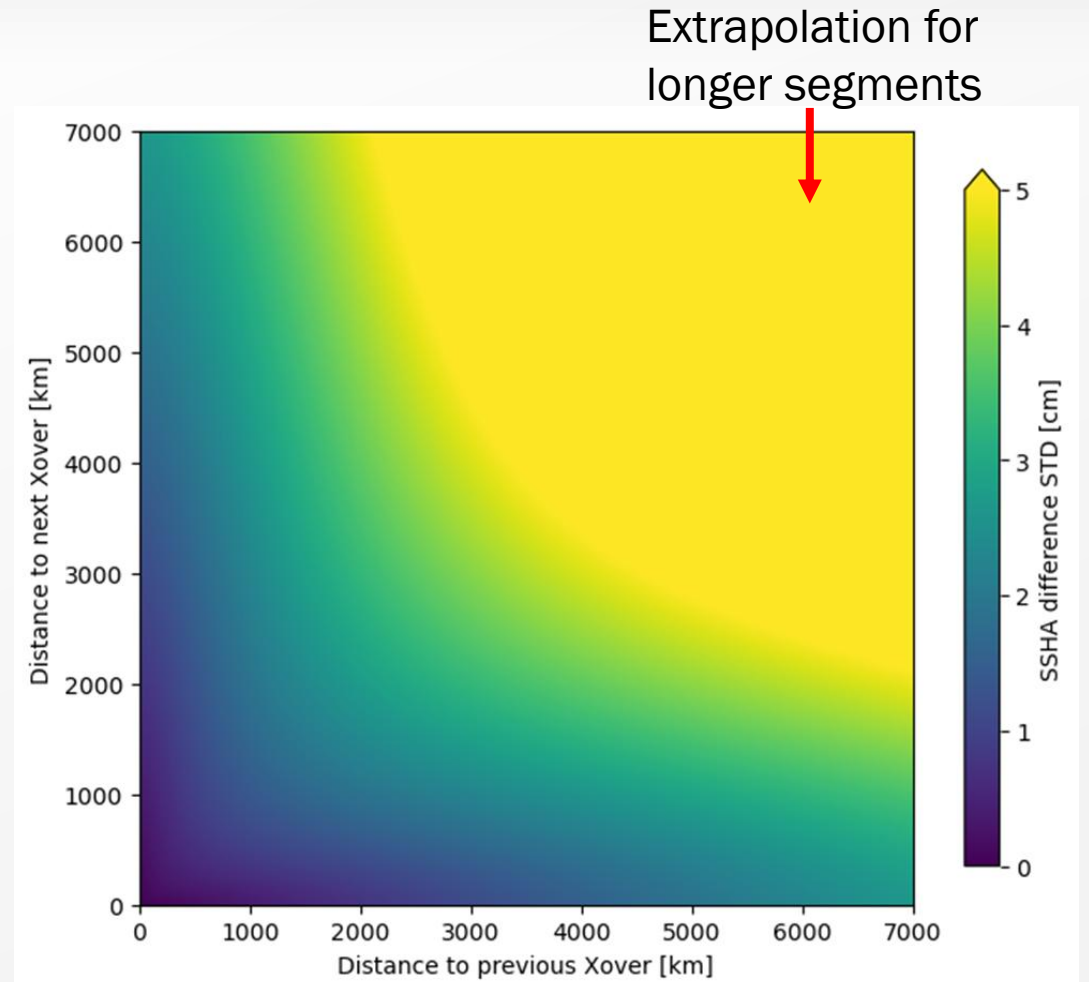


Dibarboure *et al.*, 2022

# Model for XCal uncertainty estimation

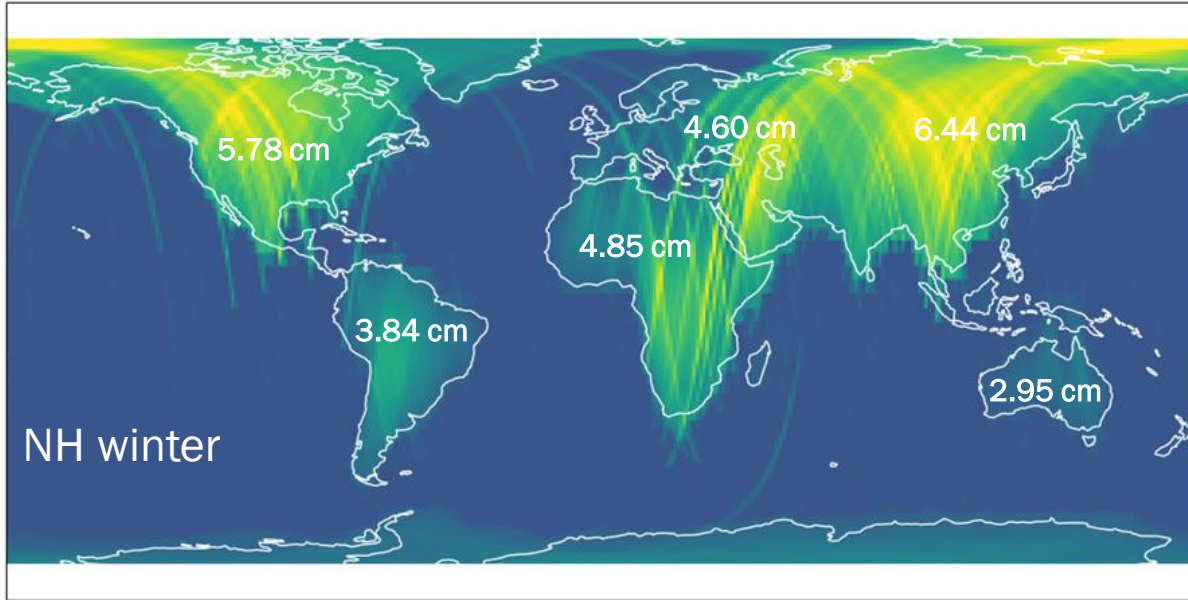


Model

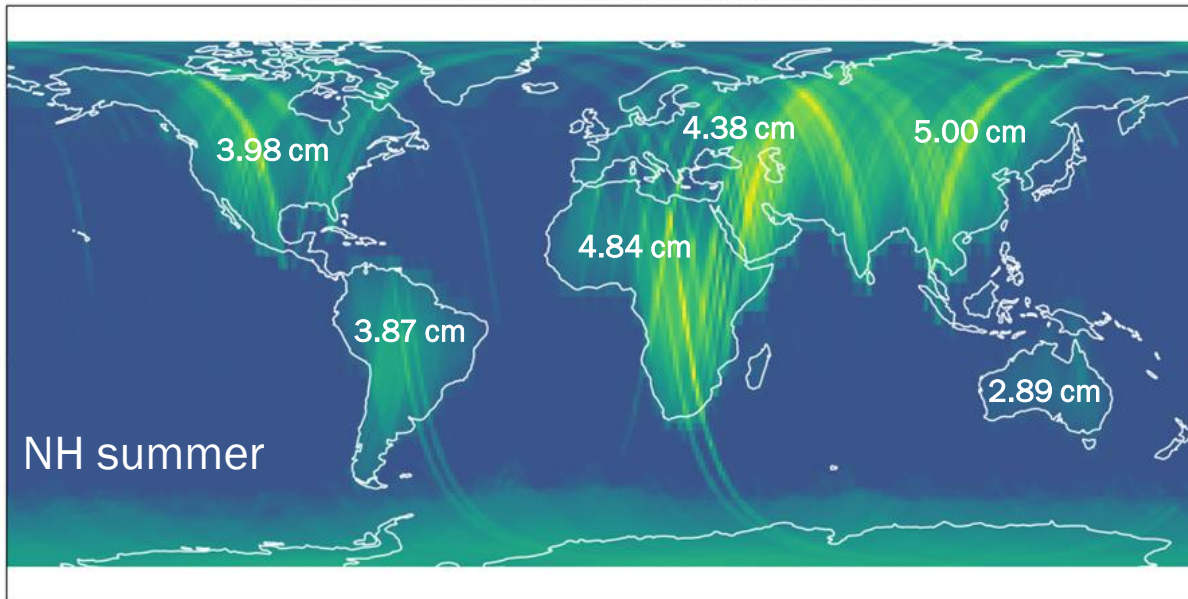


# Cartographies

XCal uncertainty estimation from 2024/02/25 to 2024/04/07



XCal uncertainty estimation from 2024/08/25 to 2024/10/06



- Model applied to actual SWOT data

$$u_{\text{XCal}} = \sqrt{5 \text{ cm}^2 + \alpha^2 L^2}$$

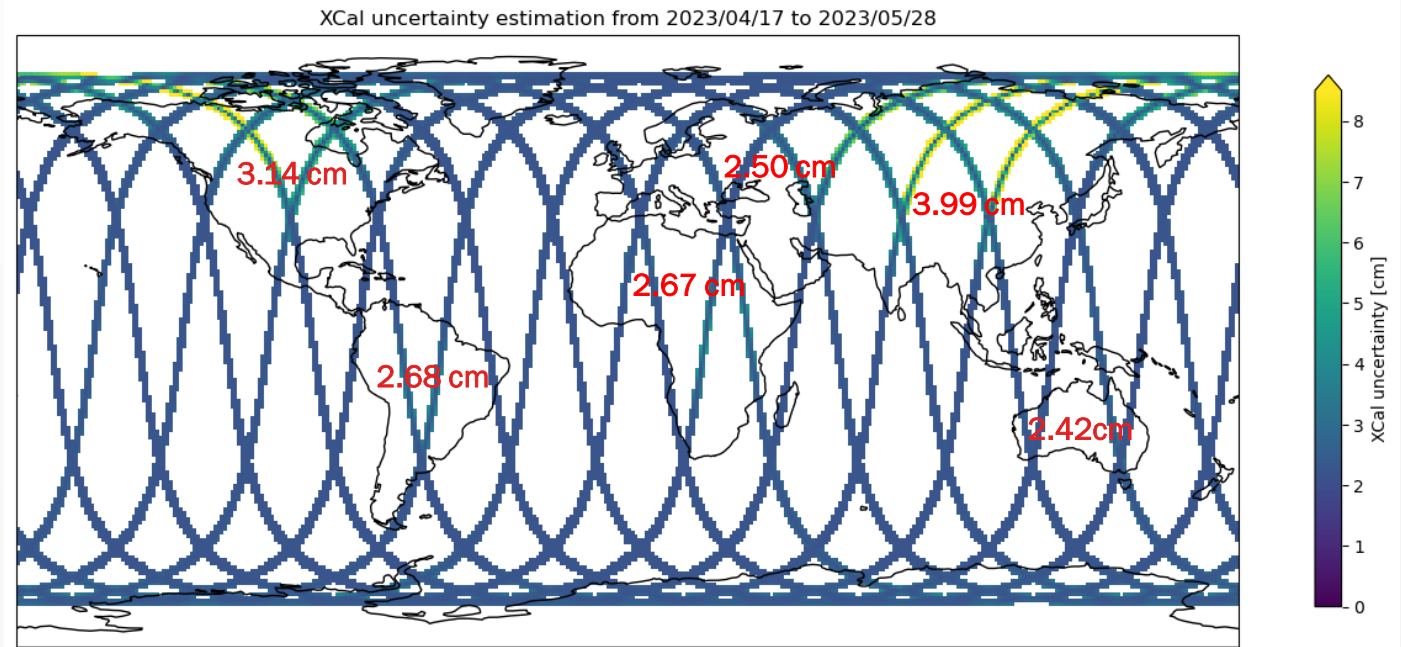
Floor value to account for the residual uncertainty over the ocean (Raynal *et al.*, SWOT ST 2024)

- XCal uncertainty estimated for ocean and inland waters alike
- Uncertainty **upper bound** mostly below requirements (7.4 cm of residual error)
- Clear impact of missing Xovers at high latitude  
→ Particularly crucial for hydrological targets in Asia and North America

# CaVal orbit: L3 cross-calibration

- L2 XCal algorithm unfit for the CaVal (1-day repeat) orbit due to the scarcity of Xovers → L3 XCal to be used on hydrological targets (takes input from other altimetry missions) → L2 & L3 algorithms are independent from one another
- Optimal interpolation scheme developed to further improve interpolation and provide users with an uncertainty value

## L3 XCal uncertainty estimation during the CaVal phase



Contact: [aviso-swot@altimetry.fr](mailto:aviso-swot@altimetry.fr)

SWOT KaRIn Calibration Algorithm: principle, updates and analysis of the absorption of geophysical corrections residues such as tide and sea state bias

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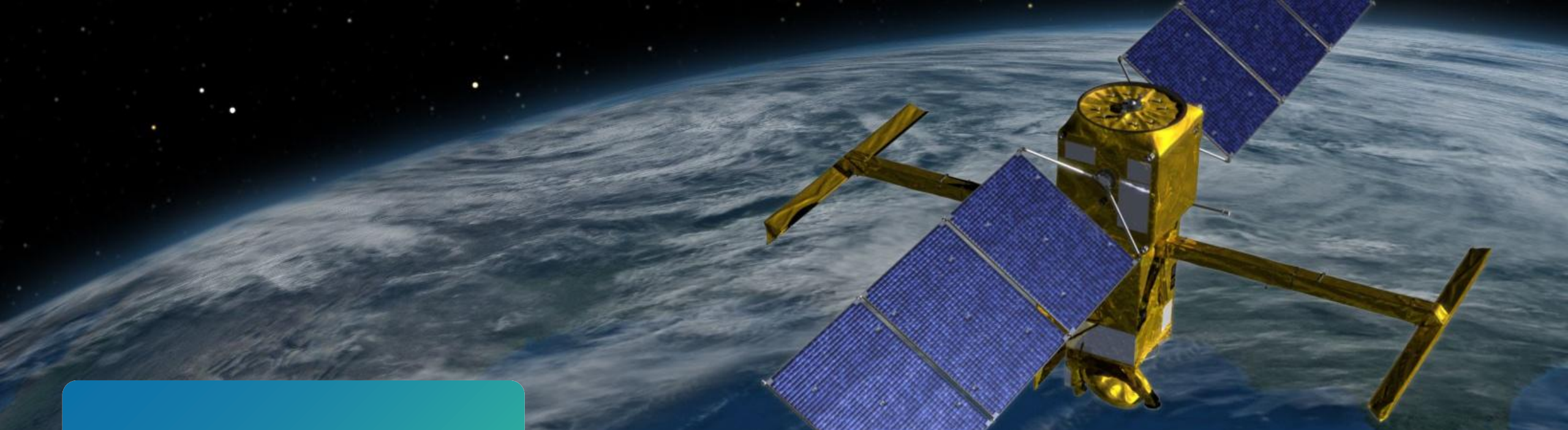


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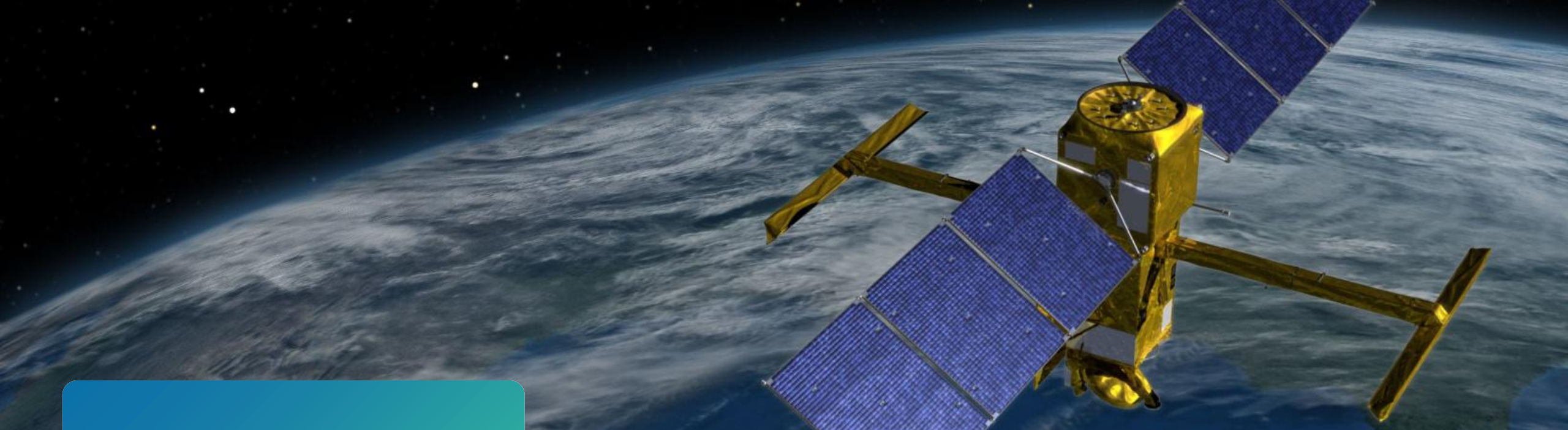


# Conclusion and future prospects

- The L2 XCal algorithm produces a correction for SWOT's systematic errors based on estimates at Xovers followed by harmonic and Gaussian interpolation
  - XCal height correction inland relies on estimates produced over the open ocean
  - Challenging to assess XCal quality
- Need for an accurate and reliable metric for XCal quality
  - Construction of an uncertainty field using the virtual continent experiment
- The STD of topography differences between degraded and nominal XCal runs provides an **upper bound** for the supplemental error between Xover estimates
  - Grows linearly with missing segment length
  - Data-driven model depending on the distances to the previous and next Xovers
- Some remaining challenges
  - Refine XCal uncertainty estimation at Xovers
  - How to deal with long missing segments (e.g., missing passes)?
  - Construct model with other input variables besides distances to nearest Xovers

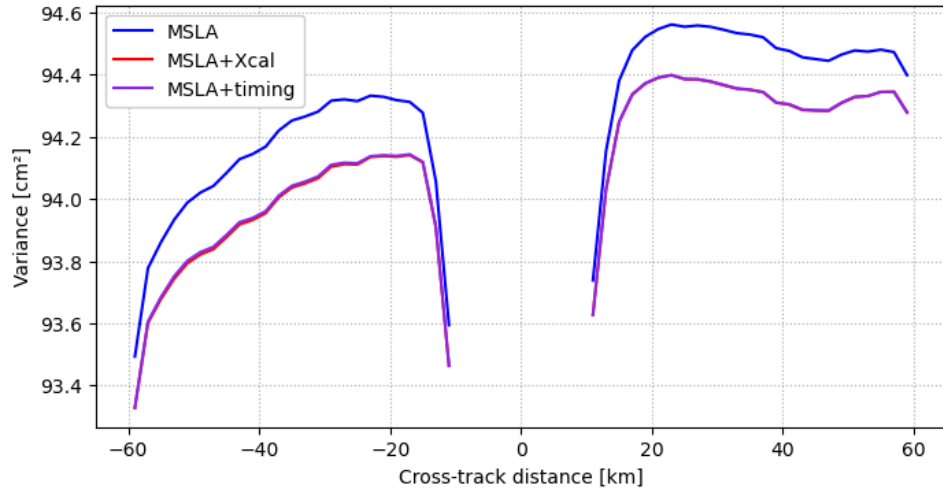


*Thank you for your attention!*



Back-up

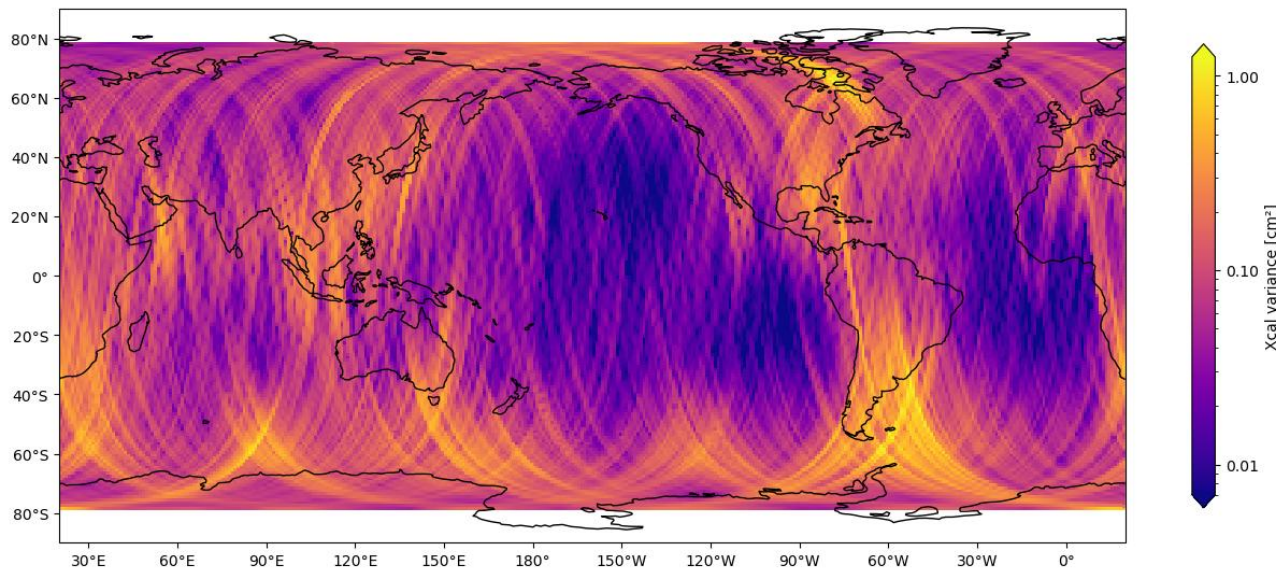
# Leakage from ocean variability



- XCal run generated with MSLA as input  
→ resulting height correction strictly zero ideally

→ ~0.2 cm<sup>2</sup> of ocean variance absorbed globally

→ Almost entirely due to the timing correction



→ Height correction variance  
(visualize ocean signal projected inland)

→  $\leq 0.1$  cm<sup>2</sup> in the open ocean

→ Can reach 1 cm<sup>2</sup> at high latitudes or around coastal/highly dynamic areas