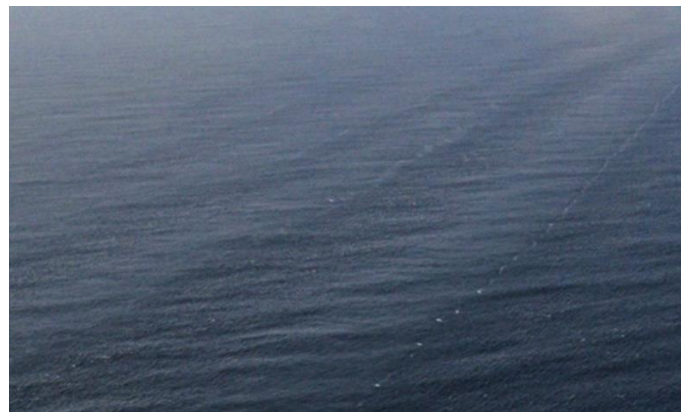
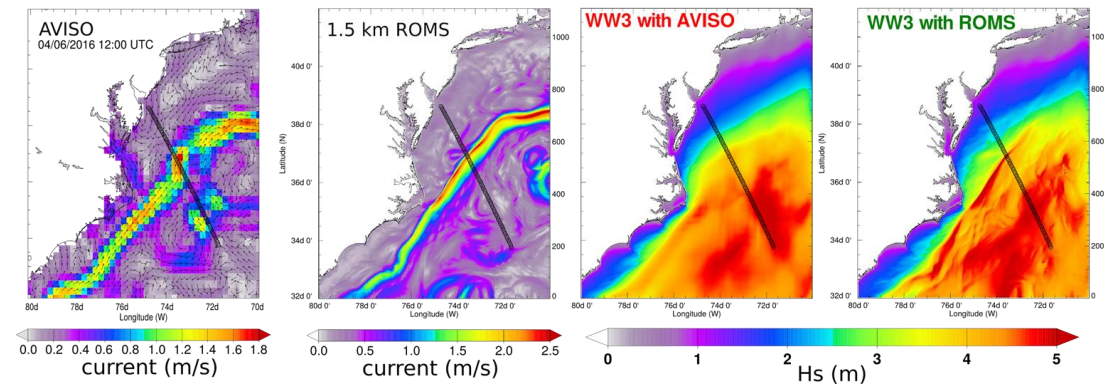


# Processing ang wind-waves working group

With contributions from  
D. Vandemark, B. Villas Bôas, P. Dubois, F. Nouguier, B. Chapron, L. Lenain,  
D. Sandwell et al.



Lenain & Pizzo (JPO 2021)

**Note : this WG also covers SWOT total error (including roll ...) analyses (not presented here).**

# Reminder: processing ... see ATBD or Dubois et al. (2020): <https://tinyurl.com/dubois-WG>

## Ground processing : L2

## Ground processing : L1

### On-board (OB) LR processing

In a nutshell, the KaRIN LR OB processing

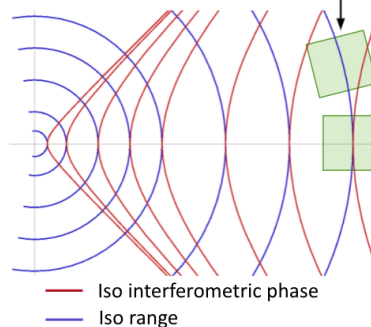
- is not trivial
- uses approximations because the OB knowledge is not optimal.
- destroys, with no possible step back, the highly resolved (< 500 m) highly sampled (< 250 m) data.

- **Why an OBP ?** The onboard LR processing aims at **reducing the data rate**  
→ the data must be **averaged**
- **Why is it not trivial ?** We will later use the interferometric phase to obtain the SSH  
→ we ensure that **the interferometric phase information is not destroyed** during the averaging process
  - The channels (master/slave) raw data phases are random → NOT good candidates for averaging.
  - The interferometric data phase (when unwrapped) is very stable → good candidate for averaging.
  - The phase noise of the interferometric data is a function of its coherence: to improve the coherence, the channel data must be prepared consequently *before* the interferogram generation (e.g., compressions).

For these reasons, the raw data channels are

- range compressed → co-registered → azimuth compressed → combined into an interferogram, which is
- unwrapped → averaged

Within an outer beam IR pixel, the mean of the interferometric phase is not the interferometric phase at the center of the pixel



| az res.                     | rg pos. | az pos. |
|-----------------------------|---------|---------|
| mediate product             |         |         |
| 500 m                       |         | 250 m   |
| othed data product (Expert) |         |         |
| 500 m                       |         | 250 m   |
| ediate product              |         |         |
| 500 m                       |         | 250 m   |
| ata product (distributed)   |         |         |
|                             |         |         |

Slide #10

Slide #9

# 1) Mean Sea Surface (MSS) for SWOT

## **CLS/CNES**

Philippe Schaeffer

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Yannice Faugere

Gerald Dibarboure

Nicolas Picot

## **SIO**

David Sandwell

Yao Yu

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## **DTU**

Ole Andersen

Per Knudsen

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Shengjun Zhang

## **NOAA**

Eric Leuliette

Walter Smith

- Need high resolution MSS for CAL/VAL early in the mission.
- MSS should have long wavelength accuracy from multidecadal repeat-track altimetry (ERM) and short wavelength precision from geodetic mission (GM) phases.
- Need uncertainty map as well as error spectrum.
- MSS should have an epoch and a linear variation with time.

# 1) Mean Sea Surface: Progress and Plans

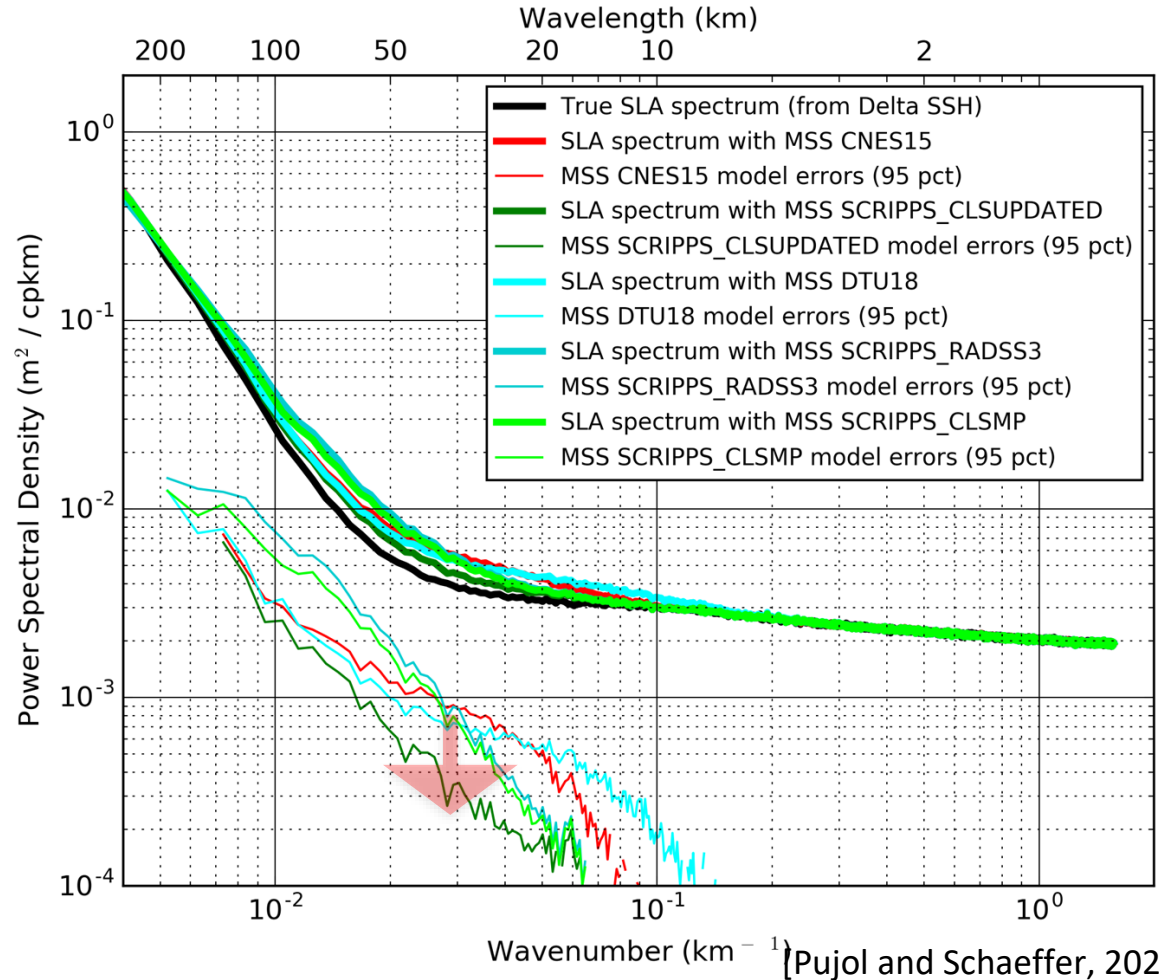
- Group has generated and compared four models: CNES15 CLS\_SIO, DTU18, and a hybrid SIO/CNES\_CLS2015/DTU15. [1993-2012]
- Group is developing an uncertainty model.
- Group is adding a long-wavelength time variation.
- Also developing a mean sea surface slope and slope uncertainty model.

# 1) PSD of Errors

Significant improvements in the **10-100 km** wavelength band.

Plan to deliver a combined MSS and error map to GECO in early 2022.

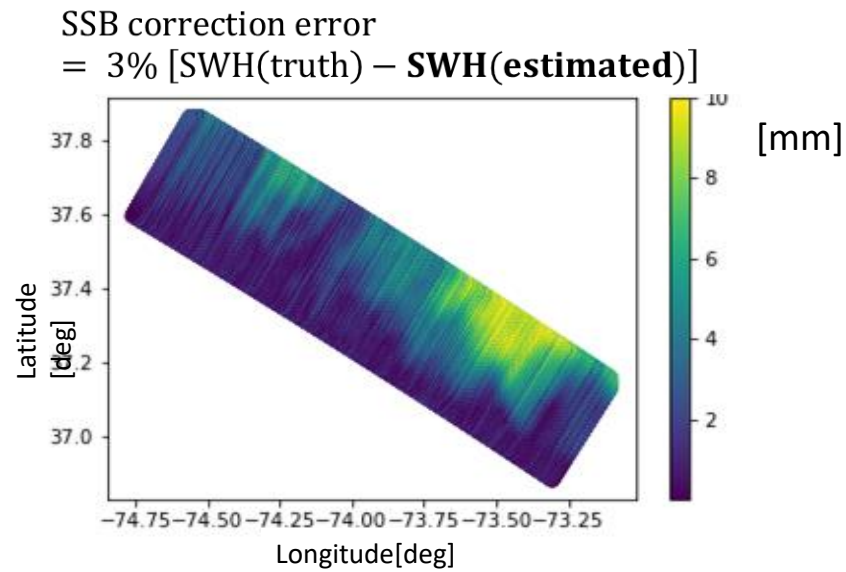
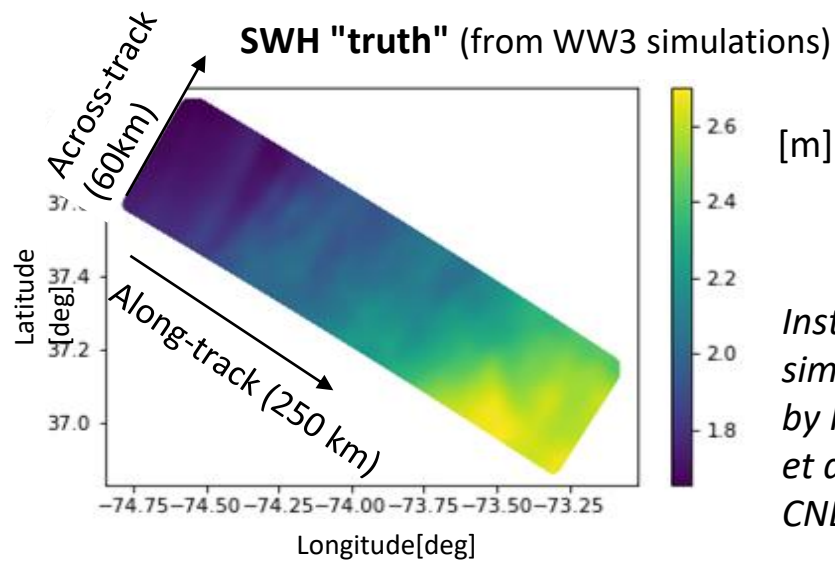
Continue to develop a linear temporal variation correction map.



## 2) Why wind waves?

- A) Wind-waves are influenced by currents, and SWOT will be unique in mapping smaller scale currents: important for air-sea interactions, coastal applications, navigation safety ...
  
- A) Wind waves are an important source of noise for KaRIN (Peral et al. 2015):
  - What are the scales impacted by waves?
    - surfboard & other effects (see Peral et al. )
    - Waves vary on all scales (e.g. Ardhuin et al. JGR 2017, Villas Boas & al. 2020, Lenain & Pizzo 2021 ... ) : what does this do to SSH estimates?
  - How can we best estimate sea state parameters to quantify the measurement errors?
  - Do we understand everything about the measurement & processing ?
  - What are the residual effects on small-scale geoid?
  - What kind of information would be useful during CAL-VAL?

## 2) Estimation of SWH and impact



For SWOT, **SWH** is derived from **volumetric decorrelation** between the interferometric channels.

ATBD currently plans 1 SWH estimate in the middle of each swath (+ nadir).

Wave models show small-scale variability. How big is this?

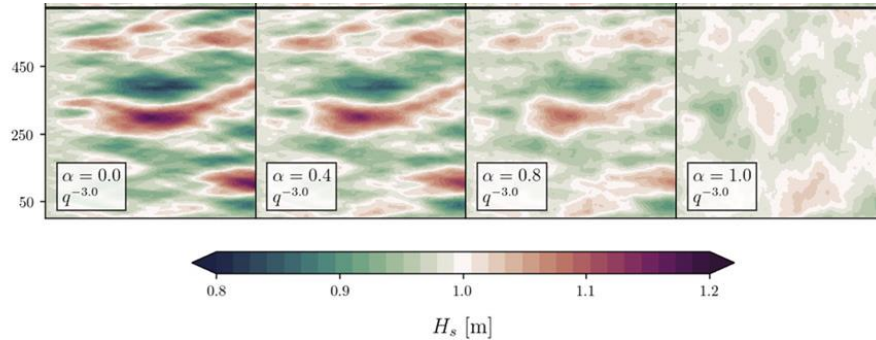
What is the real number (3% is an order of magnitude, WW3 underestimates gradients ... )?

If needed, what other wave proxy can we use? (e.g. cross-track grid of Doppler centroid) ?

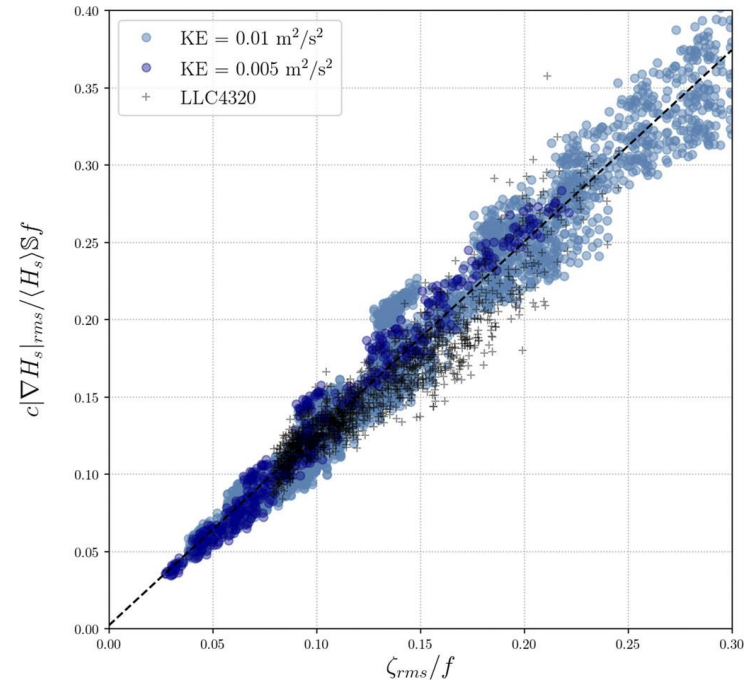
Related activities: Cal-Val with wave measurements ( e.g. airborne "MASS", Lenain et al., SIO ), coupled numerical modelling with assimilation (SIO + JPL), interpretation of Doppler centroid & NRCS gradients (LOPS)...

## 2) Spatial variability of SWH

- We now understand that scales  $< 100$  km are dominated by currents or coastal effects
- Empirical relation between SWH spectrum and surface current spectrum (e.g. Villas Boas & al. 2020)
- More theoretical work underway
- Processing of nadir altimeters with denoising (Quilfen & Chapron 2019): done as part of ESA SeaState CCI (Dodet et al. 2020, 2021). Analysis is coming.



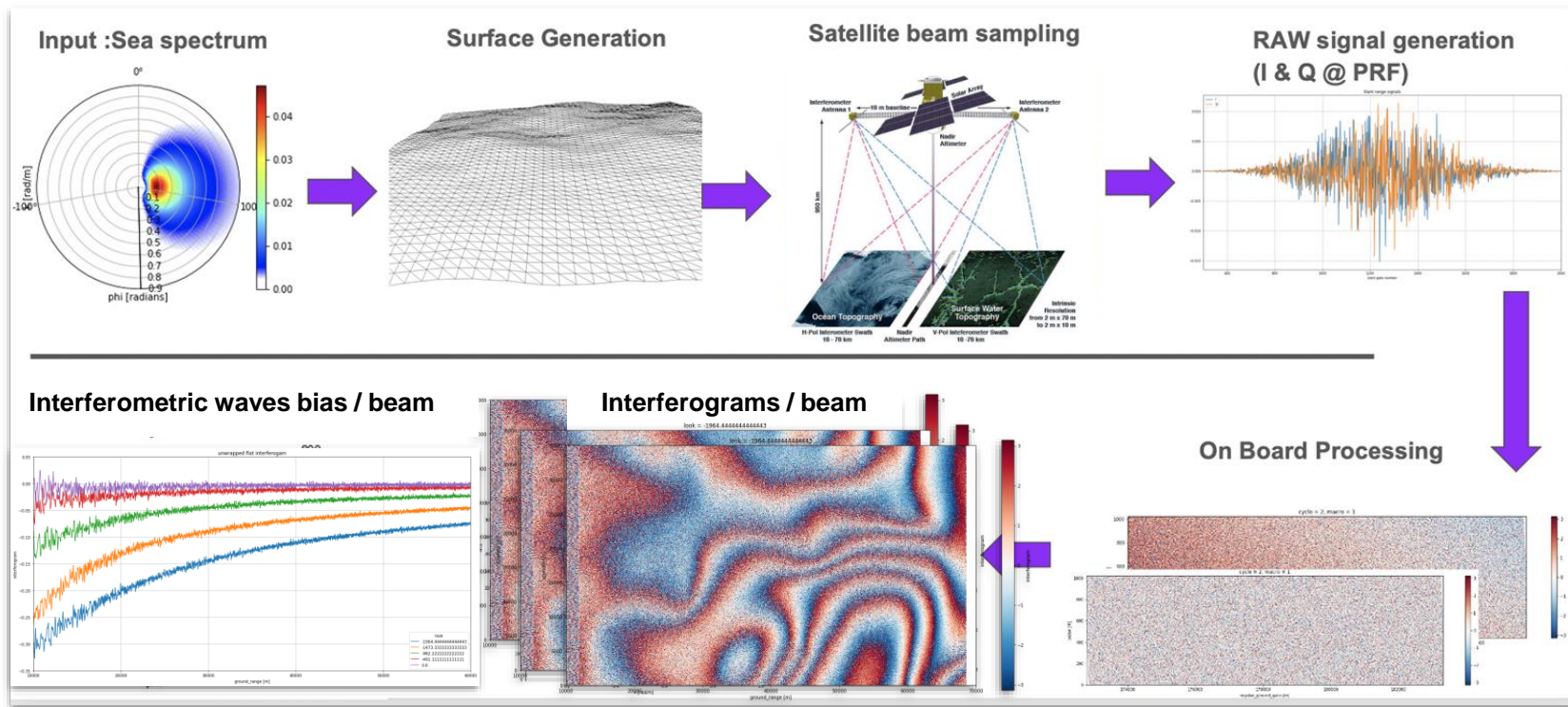
(Villas Bôas & al., J. Phys. Oceanogr. 2020)





### 3) Are we missing small-scale correlation effects? Development of end-to-end simulator (F. Nouguier)

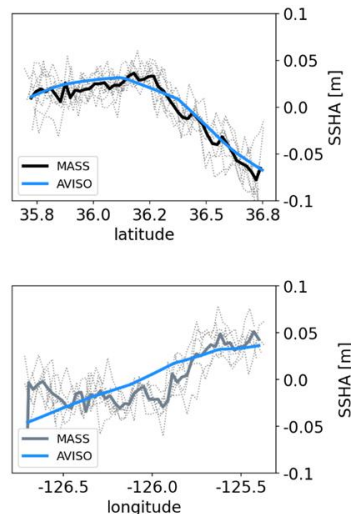
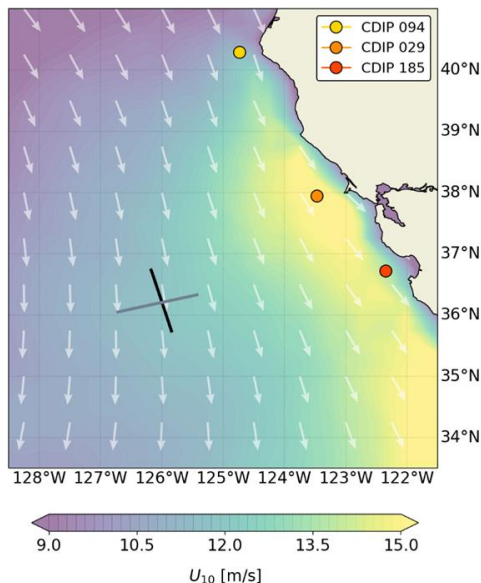
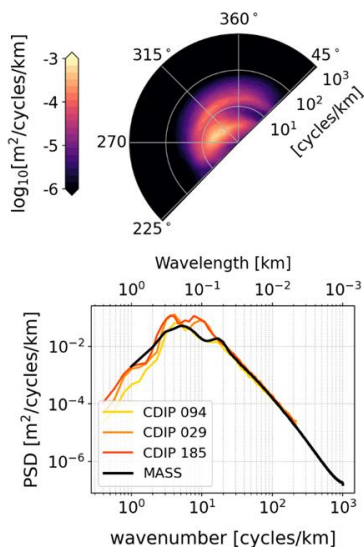
Adaptation to SWOT was completed 10 days ago: very preliminary simulations



## 4) Wave analysis during CAL-VAL

- End-to-end simulator to be applied to Southern Ocean (using remote sensing, e.g. SWIM on CFOSAT as input)
- Wave measurements possible during in situ experiments:
  - Basic wave data from drifting wave buoys at adopt-a-X-over sites
  - Detailed spatial observations of directional and spectral properties of surface waves & slopes data from airborne MASS (SIO)

# 4) Example of wave aliasing in MASS lidar data: April 9 flights: one swell and one sea



- Strong winds 11-15 m/s
- Swath width: ~500 m

$H_s = 3$  m

Sea:

$T_p = 6$  s

$\lambda_p = 60$  m

$\theta_p = 321^\circ$

Swell:

$T_p = 11$  s

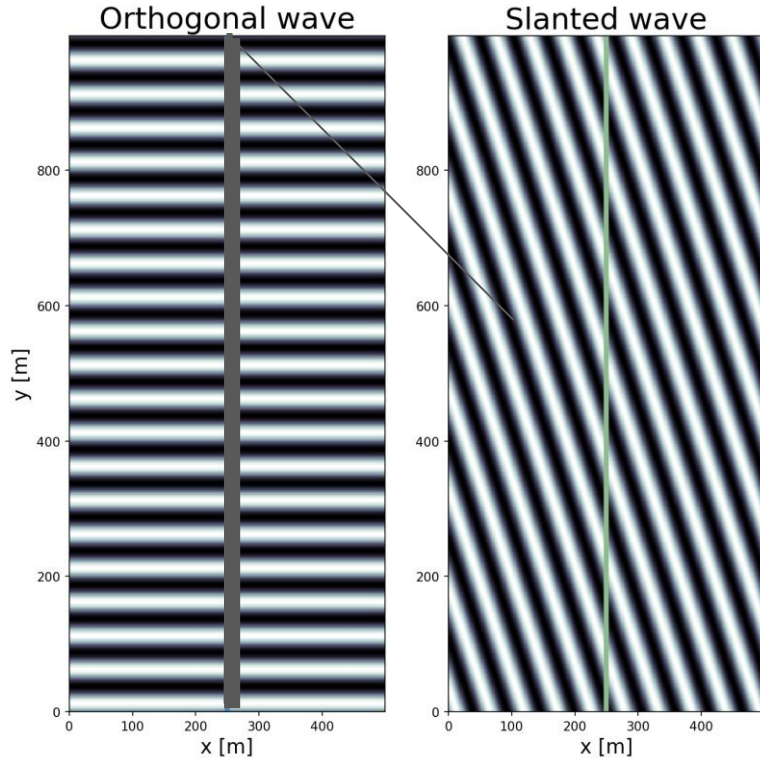
$\lambda_p = 200$  m

$\theta_p = 308^\circ$

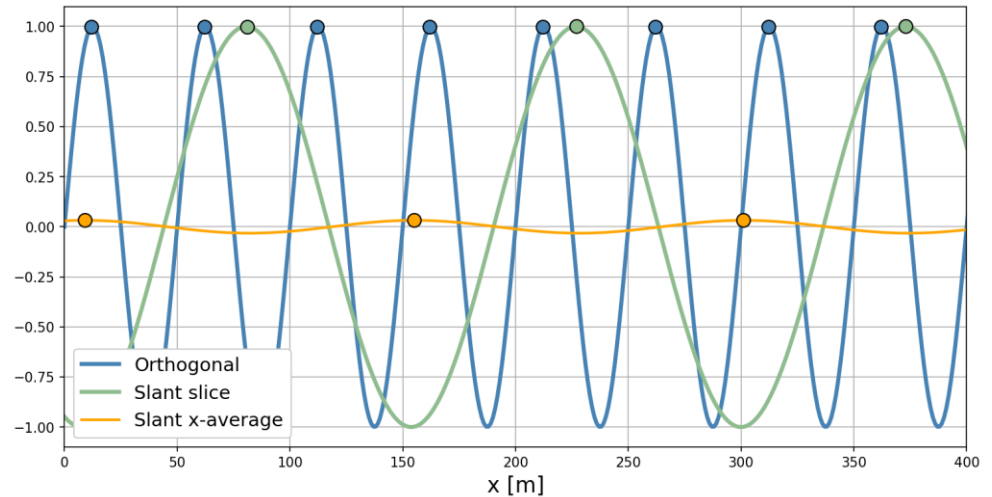
Aircraft heading: 341

B. Villas Bôas, L. Lenain, B. Cornuelle, S. Gille, and M. Mazloff

## 4) Example of wave aliasing in MASS lidar data: why wave directions matter:

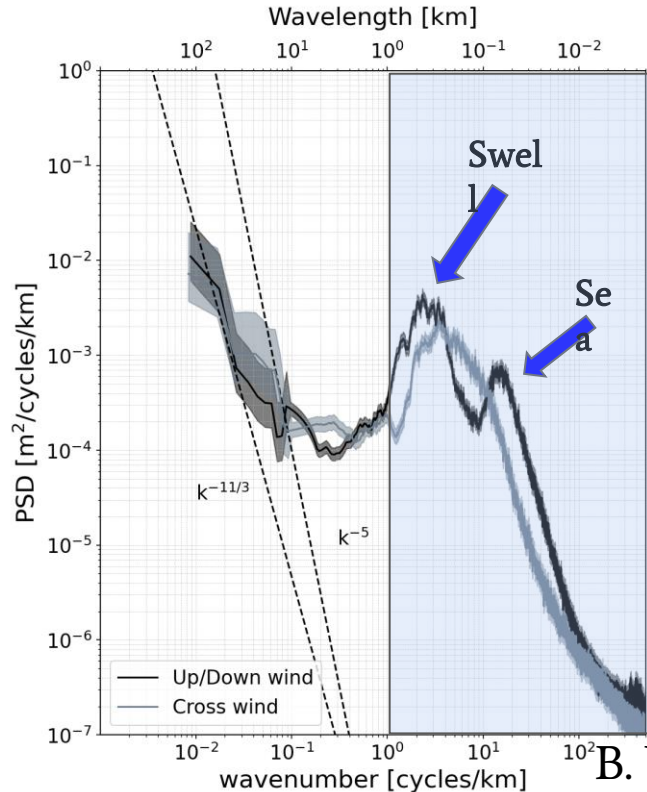


- Across-track averaging lowers the wavenumber and attenuates the amplitude of oblique waves



\*Similar to Ray and Zaron (2015) for internal tides

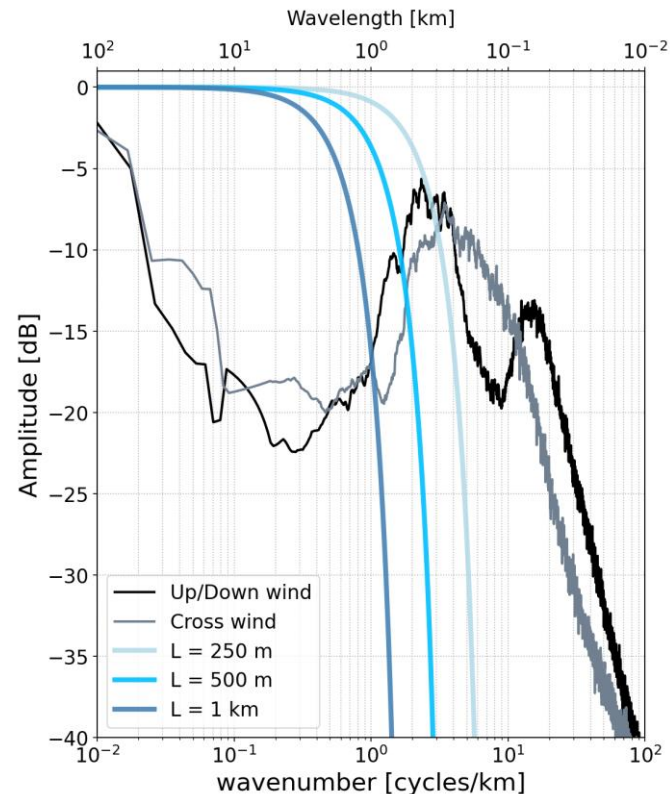
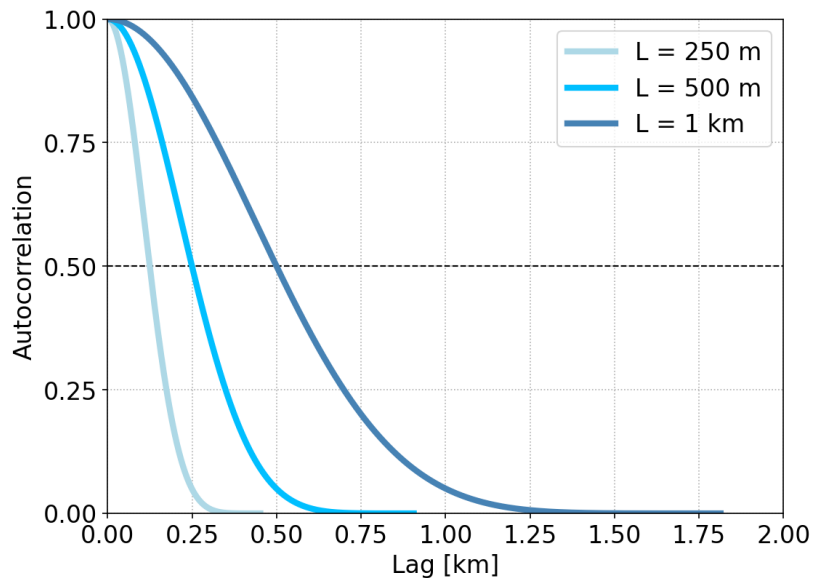
## 4) Example of wave aliasing in MASS lidar data: Across-track averaged spectrum



- The blue zone (  $k > 1$  cycles/km )
  - At high wavenumbers the spectrum is blue and dominated by surface waves
  - We see both the swell and sea peaks
  - Up/down-wind and cross-wind are remarkably different
    - Across-track average depends on relative direction between waves and the aircraft heading.

# Filtering the surface wave signal

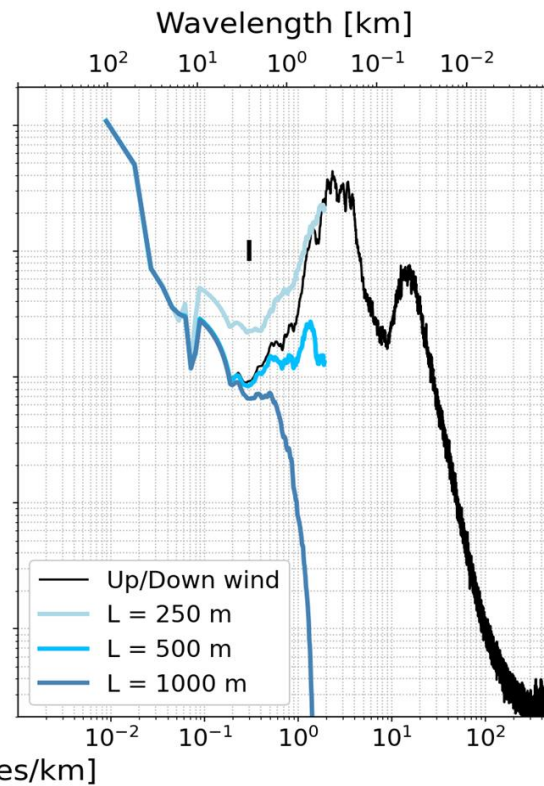
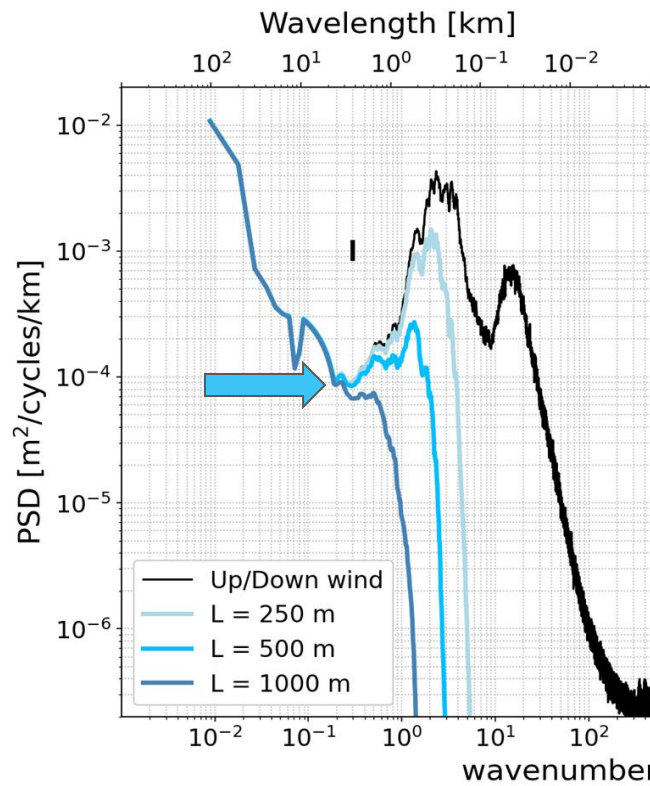
- Hanning window in the azimuth direction





# Posting at 250 m

OBP choice:  
Good for alias  
minimization



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# Summary

- Mean Sea Surface work progressing: new MSS will be available for CAL/VAL
- We now know better the expected SWH variability at scales 10 to 100 km, more to come with nadir altimeters... but 1D only.
- End to end simulations can test hypotheses on correlations (or lack thereof) at sub-500 m scales: are there some unknown residual errors caused by wave spatial variability? Wave-induced Doppler?

**Directional** wave measurements during CAL-VAL can be useful to verify the known wave aliasing effects (see example by Villas Bôas et al. using MASS during SWOT pre-launch experiment and also Yu et. al., 2021 using ICESat-2)

Directional wave spectra from CFOSAT (extension of mission after 2022 under discussion) can be used to look at wave impacts, globally (in particular in southern ocean).